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In re application of:  
Sen-Itiroh HAKOMORI et al.

Application Serial No. 10/669,264

Confirmation No. 3225

Filed: September 25, 2003

For: VACCINES DIRECTED TO  
CANCER-ASSOCIATED  
CARBOHYDRATE ANTIGENS

Group Art Unit: 1645

Examiner: S. DEVI

Atty. Docket No. 2502486-991103

Customer No.

**\*35928\***

**35928**

PATENT TRADEMARK OFFICE

### **PAPER(S) FILED ENTITLED:**

1. Information Disclosure Statement
2. PTO/SB/08 (2 pages)

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ATTORNEY/SEC: DNakamura/snelson

**Date Filed: August 6, 2004**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Sen-Itiroh HAKOMORI et al.

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

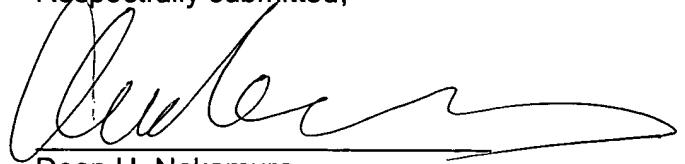
Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents that are listed on the attached PTO-1449 forms. All of the listed references are of record in parent Application No. 10/040,336 where copies may be obtained in accordance with

Sen-Itiroh HAKOMORI  
Appln. No. 10/669,264

customary PTO practice. Applicants request that these documents be considered and listed on the face of any patent granted in this application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Dean H. Nakamura', written over a horizontal line.

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Date: August 6, 2004



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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/669,264
Filing Date	September 25, 2003
First Named Inventor	Sen-Itiroh HAKOMORI
Art Unit	1645
Examiner Name	S. Devi
Attorney Docket Number	2502486-991103

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		LONGENECKER ET AL., Ann. N.Y. Acad. Sci., 690:276-291 (1993).	
		KUDUK ET AL., J. Am. Chem. Soc., 120:12474-12485 (1998).	
		RAGUPATHI ET AL., Glycocjugate J., 15:217-221 (1998).	
		TOYOKUNI ET AL., Tetrahedron Lett., 31:2673-2676 (1990).	
		KIEBER-EMMONS ET AL., Hybridoma, 16:3-10 (1997).	
		ALDURI ET AL., Cancer Immunol. Immunothera., 41:185-192 (1995).	
		MIYAJIMA ET AL., Chem. Pharm. Bull (Tokyo), 46:1676-1682 (1998).	
		FELTKAMP ET AL., Eur. J. Immunol., 23:2242-2249 (1993).	
		KUDRYSHOV ET AL., Glycocanj. J., 15:243-249 (1998).	
		ZHANG ET AL., Cancer Res., 55:3364-3368 (1995).	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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